

<b>Meeting Title</b>	Mid and South Essex Acute Trusts Joint Working Board (meeting in public)		
<b>Meeting Date</b>	7 <sup>th</sup> February 2018	<b>Agenda No</b>	<b>8</b>
<b>Report Title</b>	Risk Management and Compliance Update		
<b>Lead Executive Director</b>	Diane Sarkar – Chief Nursing Officer		
<b>Report Author</b>	Diane Sarkar – Chief Nursing Officer		
<b>Action Required</b>	<input type="checkbox"/> Monitoring <input type="checkbox"/> <i>(please tick)</i>		
<b>Background / Context</b>	<p>Work continues with development of both local and group risk management processes.</p> <p>Regulatory compliance across the three sites is being reviewed on an ongoing basis with a number of external reviews and scrutiny.</p>		
<b>Key Issue 1</b>	<p><b>Risk - BAF</b></p> <p>The planned meeting with BDO to discuss the risk management strategy took place on January 22<sup>nd</sup> 2018 and was attended by members of JWB, Non-Executive Directors and risk leads from each site.</p> <p>As previously agreed the group BAF will be revised and will be presented to JWB on quarterly basis from April onwards.</p>		
<b>Key Issue 2</b>	<p><b>Compliance</b></p> <p><b>SUHFT</b></p> <p><b>1.1 CQC</b> - The trust is awaiting the draft report following the inspection in November and December 2017. The action plan, monitoring progress, has been reviewed and updated.</p> <p>A monthly relationship meeting was held, with no serious concerns raised.</p> <p><b>1.2 NHSE</b> - NHSE chaired a multi-agency meeting to review ophthalmology plans on the 5<sup>th</sup> January. It was noted it was a positive meeting with good clinical engagement and a number of further actions were agreed and are in progress.</p> <p><b>1.3 NHSI</b>- A follow up review of infection control practices was undertaken by NHSI on the 10<sup>th</sup> January. Progress was noted and the trust was de-escalated to amber. An updated action plan has been provided to the NHSI team.</p> <p><b>BTUH</b></p> <p>CQC - A time table has been populated to undertake an internal Well Led inspection during February. This will include:</p> <ul style="list-style-type: none"> <li>• Review of supporting documentation for each key line of enquiry</li> <li>• Deep dive into a clinical division</li> </ul>		

	<ul style="list-style-type: none"> <li>• Review of external reports and associated action plans</li> <li>• Focus groups</li> <li>• Identification of potential core services for outstanding</li> <li>• A review of progress following the internal compliance inspection, including spot checks</li> <li>• A review of maternity services to ensure sustainability of outstanding</li> <li>• Use of resources self-assessment</li> <li>• Development of briefing packs and sessions for staff and CQC</li> <li>• Establishment of weekly CQC progress / monitoring meetings</li> </ul> <p>A monthly relationship meeting was held, with no serious concerns raised</p> <p><b>MEHT</b> NHSI – An unannounced visit was undertaken by the Executive Director of Nursing – NHSI, following a number of issues which had been raised. A visit was made to the Emergency Department and discussions with the Managing Director and Chief Nursing Officer were had.</p> <p>A planned internal CQC compliance review will be undertaken in March 2018.</p> <p><b>External</b> The Chief Nursing Officer has undertaken a well led CQC inspection as an executive reviewer.</p>
<b>Timescale for Benefits to be Realised</b>	April 2018
<b>Assessment of Implications</b>	
<b>Financial</b>	Does this proposal have <u>revenue</u> (recurrent or non-recurrent) implications for the Trusts? No
<b>Risk</b>	Inadequate oversight of strategic risks and lack of consistency and standardisation increases the overall risks collectively and demonstrates poor governance arrangements.
<b>Freedom of Information</b>	<i>No exemptions apply (i.e., information is in the public domain) OR The following exemption(s) apply to this paper :</i>
<b>Other Implications Identified</b>	<ul style="list-style-type: none"> <li>• Regulatory impact may be evident</li> </ul>
<b>Recommendation</b>	<p>The Joint Working Board are invited to:</p> <ul style="list-style-type: none"> <li>• Note the report</li> </ul>