

Meeting Title	Mid and South Essex Acute Trusts Joint Working Board (meeting in public)		
Meeting Date	7 th March 2018	Agenda No	8
Report Title	Risk Management and Compliance Update		
Lead Executive Director	Diane Sarkar – Chief Nursing Officer		
Report Author	Diane Sarkar – Chief Nursing Officer		
Action Required	Decision <input type="checkbox"/> Discussion <input checked="" type="checkbox"/> Monitoring <input type="checkbox"/> (<i>please tick</i>)		
Background / Context	<p>A more strategic approach to risk management is required from a JWB perspective and this is underway.</p> <p>Each local site continues to work in developing its own risk management framework and mitigating risks.</p> <p>The group Risk and Compliance group met for its monthly meeting 19th February.</p>		
Key Issue 1	<p>Risk - BAF Following the meeting with BDO, the written output from the meeting is still awaited from BDO. The Chairman of BTUH and the Chief Nursing Officer have met and following receipt of the above, will review the current BAF and JEG will take forward a review of “issues” and identify the more strategic risks rather than operational. This will be presented at the April JWB.</p> <p>SUHFT have received “substantial” assurance following an internal audit review of risk management.</p> <p>Escalation of Risks from Sites There are no risks to escalate</p>		
Key Issue 2	<p>Compliance SUHFT 1.1 CQC - The trust has received a draft report which is being reviewed for factual accuracy. The action plan, monitoring progress, has been reviewed and updated on a weekly basis.</p> <p>BTUH CQC – Internal Well Led Review. This has commenced and a report will be taken to the April BTUH Board.</p> <p>MEHT The planned internal CQC compliance review planned for 28 February, 1st and 2nd March has been postponed due to the adverse weather.</p> <p>HTA Visits Both SUHFT and BTUH have had an HTA visit, and are awaiting final reports.</p>		

Timescale for Benefits to be Realised	April 2018
Assessment of Implications	
Financial	Does this proposal have <u>revenue</u> (recurrent or non-recurrent) implications for the Trusts? No
Risk	Inadequate oversight of strategic risks and lack of consistency and standardisation increases the overall risks collectively and demonstrates poor governance arrangements.
Freedom of Information	<i>No exemptions apply (i.e., information is in the public domain) OR The following exemption(s) apply to this paper :</i>
Other Implications Identified	<ul style="list-style-type: none"> • Regulatory impact may be evident
Recommendation	The Joint Working Board are invited to: <ul style="list-style-type: none"> • Note the report