

<b>Meeting Title</b>	Mid and South Essex Acute Trust Boards Meeting in Common (public session)		
<b>Meeting Date</b>	12 <sup>th</sup> June 2019	<b>Agenda No</b>	5
<b>Report Title</b>	Board Assurance Framework (BAF) Review		
<b>Lead Executive Director</b>	Diane Sarkar – Chief Nursing Officer		
<b>Report Author</b>	Diane Sarkar – Chief Nursing Officer		
<b>Action Required</b>	Decision <input type="checkbox"/> Discussion <input checked="" type="checkbox"/> Monitoring <input type="checkbox"/> ( <i>please tick</i> )		
<b>Background / Context</b>	The purpose of this paper is to provide an update to the Board of the current BAF.		
<b>Key Issue 1</b>	<p>Each risk has been reviewed at the associated board sub-committee or designated group for sign off.</p> <p>All risks have been reviewed and updated in the BAF – June Version (appendix 1)</p> <p>There has been the following changes / movement.</p> <p>Three risks have increased:</p> <p><b>3.1 has increased from 16 to 20</b> Failure to create workforce stability with vacancy and retention rates within the top quartile for acute trusts.</p> <p><b>4.3 has increased from 16 to 20</b> Failure to deliver digital transformation agenda and to ensure resilience in informatics and IT services.</p> <p><b>4.6 has increased from 12 to 16</b> Failure to consistently deliver safe, responsive and efficient patient care in a cost effective manner because current estate and infrastructure is not fit for purpose.</p> <p>This paper also details a summary of the top rated risks (appendix 2), rated 20 or above, from each individual site corporate risk registers. These risks have previously been reviewed by the relevant site leadership teams.</p> <p>This is the first summary, as requested in the Board meeting in April, and will be presented on a quarterly basis.</p> <p>Also:</p> <ul style="list-style-type: none"> <li>• Site BAFs are being de-solved as the Group BAF continues to develop.</li> <li>• Individual site risk appetites are currently being discussed and agreed with a view to being presented to the Board in August.</li> <li>• A group risk appetite will be reviewed and then be populated in the August Board meeting.</li> </ul>		

	<p>Summary of top corporate risks (with a rating of 20 or more):</p> <p>There are 3 risks that are consistent across one or more of the three sites:</p> <ol style="list-style-type: none"> <li>1. Failure to replace end of life operating systems <b>SUHFT and BTUH</b></li> <li>2. Failure to deliver financial plan <b>SUHFT and MEHT</b></li> <li>3. Failure to deliver / report accurate performance targets <b>SUHFT and MEHT</b></li> </ol>
<b>Timescale for Benefits to be Realised</b>	Ongoing monitoring on a monthly basis via Board sub committees
<b>Risk</b>	Inadequate oversight of quality risks increases the overall risks to patients and the trusts
<b>Freedom of Information</b>	<i>No exemptions apply (i.e., information is in the public domain) OR The following exemption(s) apply to this paper :</i>
<b>Other Implications Identified</b>	<ul style="list-style-type: none"> <li>• Regulatory impact may be evident</li> </ul>
<b>Recommendation</b>	<p>The Boards of BTUH, MEHT and SUHT are invited to:</p> <ul style="list-style-type: none"> <li>• Review each risk presented for approval</li> <li>• Note the top rated risks from each individual site</li> </ul>
<b>Appendix</b>	<ol style="list-style-type: none"> <li>1 - BAF Risks</li> <li>2 – Top risks from site corporate risk registers</li> </ol>